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3	<b>Table 1: Milestones Summary as of 8/1/2017</b>								
4	State	No.	Milestone	Description	Due Date	Status	State's Requested Status Change	State's Requested New Due Date	State's Supporting Evidence
5	Ohio	OH.01.0	Completion of systemic assessment	ICF/IID Level of Care Waivers (Residential and Non-residential): HCBS evaluation tool developed to use during on-site compliance reviews conducted by DODD personnel. (6/1/2015-7/31/2015)  ICF/IID Level of Care Waivers (Residential and Non-residential): Systemic review conducted with stakeholder engagement via the Transition Plan Committee, State Systems Sub-committee, and the Strategic Planning Leadership Group. (5/1/2014-12/31/2015)  NF-LOC System Waivers (Residential and Non-residential): Settings were assigned a category of compliance based on the State's administrative review of existing regulations and an analysis of paid claims for HCBS and institutional services delivered from the same address. (10/1/2014-10/15/2015)	12/31/2015	Completed			Outlined in Appendices I-IV in the statewide transition plan.
6	Ohio	OH.02.0	Complete modifying rules and regulations, including provider manuals, inspection manuals, procedures, laws, qualification criteria, etc	ICF/IID Level of Care Waivers (Residential and Non-residential): Post draft HCBS waiver Administration rule for comment, make necessary revisions, final file, and final file with proposed implementation date. (12/15/2015-4/30/2016)  ICF/IID Level of Care Waivers (Residential and Non-residential): • Amend Licensure rules that impact provider-owned settings. (OAC 5123:2-3) • Submit the new Adult Day Services rules through rule review and implementation process. (5123:2-9) • Submit the new Personal Funds rule (OAC 5123:2-2-07) through rule review and implementation process. (6/30/2016-10/1/2016) NF-LOC System Waivers (Residential and Non-Residential): Adopt an overarching HCBS settings rule and modify provider oversight process. (7/1/2015-7/1/2016)	10/1/2016	Completed			ICF-IID System rules are found here: <a href="http://codes.ohio.gov/oac/5123">http://codes.ohio.gov/oac/5123</a> . NF-LOC System Rule is 5160-44-01 and is found here: <a href="http://codes.ohio.gov/oac/5160-44-01">http://codes.ohio.gov/oac/5160-44-01</a>
7	Ohio	OH.03.0	Implementation of new rules and regulations: 50% complete	ICF/IID Level of Care Waivers (Residential and Non-residential): • Implement amended Free Choice of Provider rule 5123:2-9-11 (3/2015) • Implement HCBS Administration rule 5123:2-9-02 (5/2016) • Implement IO Nursing rule 5123:2-9-39 (7/1/2016)  NF-LOC System Waivers (Residential and Non-Residential): Adopt an overarching HCBS settings rule OAC 5160-44-01. (7/1/2015-7/1/2016)	7/1/2016	Completed			ICF-IID System rules are found here: <a href="http://codes.ohio.gov/oac/5123">http://codes.ohio.gov/oac/5123</a> . NF-LOC System Rule is 5160-44-01 and is found here: <a href="http://codes.ohio.gov/oac/5160-44-01">http://codes.ohio.gov/oac/5160-44-01</a>
8	Ohio	OH.04.0	Implementation of new rules and regulations: 100% complete	ICF/IID Level of Care Waivers (Residential and Non-residential): Post draft HCBS waiver Administration rule for comment, make necessary revisions, final file, and final file with proposed implementation date. (12/15/2015-4/30/2016)  ICF/IID Level of Care Waivers (Residential and Non-residential): • Implement amended Homemaker/Personal Care rule 5123:2-9-30 to reflect revised service definition (pending CMS approval) • Implement new Adult Day Waiver Services (pending CMS approval) • Implement new Licensure rules for provider-owned settings • Implement new Personal Funds rule (6/30/2016-10/1/2016)  NF-LOC System Waivers (Residential and Non-Residential) Modify OAC 173-39-02.16, OAC 173-39-02.1, and OAC 5160-46-04 (C) (9/1/2016-9/30/2017)	9/30/2017	Completed			The ICF-IID system rules and regulations outlined in the milestone are 100% complete.  The NF-based LOC system The State adopted OAC 5160-44-01 and modified OAC 173-39-02 and OAC 5160-45-10 to incorporate the HCBS settings requirements. With this rule changes, the state determined modifications to OAC 173-39-02.16, OAC 173-39-02.1, and OAC 5160-46-04 are not indicated.
9	Ohio	OH.05.0	Completion of site-specific assessment	ICF/IID Level of Care Waivers (Residential): Based upon the onsite evaluation a remediation plan will be requested for settings that currently do not comply but have the ability to comply. DODD will require semi-annual status reports from the provider. (3/1/2016-12/31/2016)  ICF/IID Level of Care Waivers (Non-residential): Based upon the onsite evaluation a remediation plan will be requested for settings that currently do not comply but have the ability to comply. DODD will require semi-annual status reports from the provider. (3/1/2016-12/31/2017)  NF-LOC System (Residential and Non-residential - Settings which currently do not meet HCBS characteristics but may with modifications/ Settings that are presumed to have the effect of isolating individuals and may be subject to heightened scrutiny process): The reviews will be conducted using the HCBS setting evaluation tool developed for the HCBS settings rule and will be conducted in accordance with the current review schedule. (7/1/2016-6/30/2017)  For settings that do not demonstrate compliance with the HCBS settings rule, the provider will submit acceptable evidence of compliance using existing processes and in accordance with OAC rules 5160-45-09, 5160-45-10, 173-39-04, 173-39-05. The evidence of compliance will detail the steps to be taken to come into compliance and the expected timelines for compliance. (7/1/2016-6/30/2017)	12/31/2017	Delayed		7/1/2018	ICF-IID Delivery System (Residential) As reported under Milestone OH.10.0, the state has verified that (96%) of residential providers identified in the ICF-IID-based LOC delivery system have taken the necessary action steps in relation to the identified remediation timeframes. The state continues to conduct onsite evaluations of the remaining (4%) of the settings that self-assessed that currently do not comply but have the ability to comply to ensure compliance. Target date for completion: 7/1/2018  ICF-IID Delivery System (Non-Residential) As reported under Milestone OH.14.0, the state has verified that (54%) of nonresidential providers identified in the ICF-IID-based LOC delivery system have taken the necessary action steps in relation to the identified remediation timeframes. The state continues to conduct onsite evaluations of the remaining (36%) of the settings that self-assessed that currently do not comply but have the ability to comply to ensure compliance. Target date for completion: 7/1/2018.  NF-LOC Delivery System (Residential and Non-Residential). Complete. On-site assessments have been completed for 100% of the residential and non-residential settings which currently do not meet HCBS characteristics but may meet with modifications.  On-site assessments have been completed for 100% of the residential and non-residential settings which were presumed to have the effect of isolating individuals and may be subject to heightened scrutiny.  Acceptable evidence of compliance has been reviewed and accepted for 100% of residential and non-residential settings in the "may meet with modifications" category. At the conclusion of the on-site assessment visits, 100% of the residential and non-residential settings which may be subject to heightened scrutiny, were informed of the need for additional remediation to demonstrate full compliance, including steps and timeframes..
10	Ohio	OH.06.0	Incorporate results of settings analysis into final version of the STP and release for public comment	ICF/IID Level of Care Waivers (Residential): Update and post the transition plan with description of the assessment results and identification of settings for which heightened scrutiny may be requested or relocation may be required. (1/1/2017-1/31/2017)  ICF/IID Level of Care Waivers (Non-residential): Update and post the transition plan with description of the assessment results and identification of settings for which heightened scrutiny may be requested or relocation may be required. (1/1/2018-1/31/2018)  NF-LOC System (Residential and Non-residential) The state updates the transition plan with the description of the results and identification of the settings for which CMS heightened scrutiny review is requested (7/1/2017-9/30/2017)	1/31/2018	Delayed		7/1/2018	ICF-IID Delivery System (Residential) completed a system review and estimated the number of settings which either (a) cannot meet the federal requirements or (b) are presumptively non-home and community-based but the state can provide evidence to show the settings do have the qualities of a home and community-based setting. The results of the review is documented in the STP in the appendices 1 and 2 (93 and 110). The State conducted on-site assessments of the residential settings in these categories to determine if there is sufficient evidence to demonstrate the settings possess the home and community-based characteristics and do not have institutional characteristics. The state will submit evidence packages to CMS to request a heightened scrutiny review of the following residential settings: Bittersweet, Inc. (four locations) and Consumer Support Services (two locations). The
11	Ohio	OH.07.0	Submit final STP to CMS	ICF/IID Level of Care Waivers (Residential): Submit updated STP to CMS which includes the description of the assessment results and identification of settings for which heightened scrutiny may be requested or relocation may be required. (7/1/2017-7/31/2017)  ICF/IID Level of Care Waivers (Non-residential): Update and post the transition plan with description of the assessment results and identification of settings for which heightened scrutiny may be requested or relocation may be required. (7/1/2018-7/31/2018)  NF-LOC System (Residential and Non-residential) The state submits requests for heightened scrutiny to CMS for settings initially presumed to be institutional that the state determined through the on-site evaluations, do have qualities that are home and community-based (10/1/2017-10/31/2017)	7/31/2018	Not Yet Due			
12	Ohio	OH.08.0	Completion of residential provider remediation: 25%	ICF/IID Level of Care Waivers (Residential): Verify implementation of providers' remediation strategies for all residential settings to determine completion of action steps in relation to the identified remediation timeframes. (3/1/2016-5/1/2016)  NF-LOC System (Residential) Using existing processes, the state will monitor the providers' progress implementing the plan required to bring the setting into full compliance. Date approximately 25% of providers who required a remediation plan have completed the necessary remediation.(12/31/2016)	12/31/2016	Delayed	Completed	7/1/2017	ICF-IID Level of Care Waivers: Residential As of 7/5/2017, the state has verified that 58% of the residential providers in the ICF-IID-based LOC delivery system have taken the necessary action steps in relation to the identified remediation timeframes.  NF-based LOC Delivery System As of 7/5/2017, the state has verified that 89% of the residential providers in the NF-based LOC delivery system who required a remediation plan have completed the necessary remediation. Remediation actions include voluntary termination of a contract.

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	State's Supporting Evidence								
4	State	No.	Milestone	Description	Due Date	Status	State's Requested Status Change	State's Requested New Due Date	
13	Ohio	OH.09.0	Completion of residential provider remediation: 50%	ICF/IID Level of Care Waivers (Residential): Verify implementation of providers' remediation strategies for all residential settings to determine completion of action steps in relation to the identified remediation timeframes. (3/1/2016-7/31/2016)  NF-LOC System (Residential): Using existing processes, the state will monitor the providers' progress implementing the plan required to bring the setting into full compliance. Date approximately 50% of providers who required a remediation plan have completed the necessary remediation. (7/1/2017)	7/1/2017	Completed			ICF-IID delivery system The state has verified that 58% of the residential providers in the ICF-IID-based LOC delivery system have taken the necessary action steps in relation to the identified remediation timeframes. The state expects to meet the proposed 75% target for the next milestone (OH.10.0).  NF-based LOC Delivery System The state has verified that 89% of the residential providers in the NF-based LOC delivery system who required a remediation plan have completed the necessary remediation. Remediation actions include voluntary termination of the contract.
14	Ohio	OH.10.0	Completion of residential provider remediation: 75%	ICF/IID Level of Care Waivers (Residential): Verify implementation of providers' remediation strategies for all residential settings to determine completion of action steps in relation to the identified remediation timeframes. (7/1/2016-1/1/2017)  NF-LOC System (Residential): Using existing processes, the state will monitor the providers' progress implementing the plan required to bring the setting into full compliance. Date approximately 75 % of providers who required a remediation plan have completed the necessary remediation. (1/1/2018)	1/1/2018	Completed			ICF-IID Delivery System: The state has verified that 582 of the 657 (96%) residential providers identified in the ICF-IID-based LOC delivery system have taken the necessary action steps in relation to the identified remediation timeframes. The state expects to meet the proposed 100% target for the next milestone (OH.15.0).
15	Ohio	OH.11.0	Completion of residential provider remediation: 100%	ICF/IID Level of Care Waivers (Residential): Verify implementation of providers' remediation strategies for all residential settings to determine completion of action steps in relation to the identified remediation timeframes. (1/1/2017-7/31/2017)  NF-LOC System (Residential) Using existing processes, the state will monitor the providers' progress implementing the plan required to bring the setting into full compliance. Date when 100 % of providers who required a remediation plan have completed the necessary remediation. (10/31/2018)	10/31/2018	Not Yet Due			
16	Ohio	OH.12.0	Completion of nonresidential provider remediation: 25%	ICF/IID Level of Care Waivers (Non-Residential): Verify implementation of providers' remediation strategies for all non-residential settings to determine completion of action steps in relation to the identified remediation timeframes. (3/1/2016-5/1/2016)  NF-LOC System (Non-Residential) Using existing processes, the state will monitor the providers' progress implementing the plan required to bring the setting into full compliance.  Date when approximately 25% of providers who required a remediation plan have completed the necessary remediation. (12/31/2016)	7/1/2017	Delayed	Completed	7/1/2017	ICF-IID Level of Care Waivers: Non-residential The state has verified that 40% of the non-residential providers in the ICF-IID-based LOC delivery system have taken the necessary action steps in relation to the identified remediation timeframes.  NF-based LOC Waivers: The state has verified that 65% of the non-residential providers in the NF-based LOC delivery system who required a remediation plan have completed the necessary remediation. Remediation actions include voluntary termination of a setting's contract.
17	Ohio	OH.13.0	Completion of nonresidential provider remediation: 50%	ICF/IID Level of Care Waivers (Non-Residential): Verify implementation of providers' remediation strategies for all non-residential settings to determine completion of action steps in relation to the identified remediation timeframes. (3/1/2016-7/31/2016)  NF-LOC System (Residential) Using existing processes, the state will monitor the providers' progress implementing the plan required to bring the setting into full compliance.  Date when approximately 50% of providers who required a remediation plan have completed the necessary remediation. (7/1/2017)	1/1/2018	Completed			ICF-IID Delivery System: Complete. The state has verified that 43 of the 82 (54%) of non-residential providers identified in the ICF-IID-based LOC delivery system have taken the necessary action steps in relation to the identified remediation timeframes.  NF-LOC Delivery System: Complete. The state has verified 100% of the non-residential providers in the NF-based LOC delivery system who required a remediation plan have either completed the necessary remediation to demonstrate full compliance or voluntarily terminated their contract to furnish the adult day health service.
18	Ohio	OH.14.0	Completion of nonresidential provider remediation: 75%	ICF/IID Level of Care Waivers (Non-Residential): Verify implementation of providers' remediation strategies for all non-residential settings to determine completion of action steps in relation to the identified remediation timeframes. (7/1/2016-1/1/2017)  NF-LOC System (Residential) Using existing processes, the state will monitor the providers' progress implementing the plan required to bring the setting into full compliance.  Date when approximately 75% of providers who required a remediation plan have completed the necessary remediation. (1/1/2018)	1/1/2018	Delayed	7/1/2018		ICF-IID Delivery System: The state has verified that 43 of the 82 (54%) of the non-residential providers identified in the ICF-IID-based LOC delivery system have taken the necessary action steps in relation to the identified remediation timeframes. Delay completion. The state expects to meet the proposed 100% target by 7/1/18. NF-LOC Delivery System: : Complete. The state has verified 100% of the non-residential providers in the NF-based LOC delivery system who required a remediation plan have either completed the necessary remediation to demonstrate full compliance or voluntarily terminated their contract to furnish the adult day health service.
19	Ohio	OH.15.0	Completion of nonresidential provider remediation: 100%	ICF/IID Level of Care Waivers (Non-Residential): Verify implementation of providers' remediation strategies for all non-residential settings to determine completion of action steps in relation to the identified remediation timeframes. (1/1/2017-7/31/2017)  NF-LOC System (Residential) Using existing processes, the state will monitor the providers' progress implementing the plan required to bring the setting into full compliance.  Date when 100% of providers who required a remediation plan have completed the necessary remediation. (10/31/2018)	10/31/2018	Not Yet Due			ICF-IID Delivery System: The state has verified that 43 of the 82 (54%) of the non-residential providers identified in the ICF-IID-based LOC delivery system have taken the necessary action steps in relation to the identified remediation timeframes. Delay completion. The state expects to meet the proposed 100% target by 7/1/18. NF-LOC Delivery System: : Complete. The state has verified 100% of the non-residential providers in the NF-based LOC delivery system who required a remediation plan have either completed the necessary remediation to demonstrate full compliance or voluntarily terminated their contract to furnish the adult day health service
20	Ohio	OH.16.0	Identification of settings that will not remain in the HCBS System	ICF/IID Level of Care Waivers (Residential and Non-residential): Identify settings that require relocation plans based upon on-site evaluations. (1/1/2018-3/31/2018)  NF-LOC System (Residential and Nonresidential): For settings the state does not submit a request for heightened scrutiny review OR for the settings that CMS determines, through heightened scrutiny review, do not meet the regulatory requirements for HCBS, the State's established relocation team, led by the State Long Term Care Ombudsman will work with individuals who choose to transition to an approved HCBS setting of their choice. (10/1/2017)	3/31/2018	Not Yet Due			ICF-IID Delivery System: The state has verified that 43 of the 82 (54%) of the non-residential providers identified in the ICF-IID-based LOC delivery system have taken the necessary action steps in relation to the identified remediation timeframes. Delay completion. The state expects to meet the proposed 100% target by 7/1/18.  NF-LOC Delivery System: : Complete. The state has verified 100% of the non-residential providers in the NF-based LOC delivery system who required a remediation plan have either completed the necessary remediation to demonstrate full compliance or voluntarily terminated their contract to furnish the adult day health service
21	Ohio	OH.17.0	Identification of settings that overcome the presumption and will be submitted for heightened scrutiny and notification to provider	4. Heightened Scrutiny  ICF/IID Level of Care Waivers (Residential): Conduct on-site evaluations of locations that indicated through self-assessment that may be subject to heightened scrutiny. If, upon completing the on-site review, DODD determines that a request for Heightened Scrutiny must be submitted to CMS for consideration, DODD will work with the provider and individuals/families to compile evidence that the setting does have HCBS characteristics. (3/1/2016-1/31/2017)  ICF/IID Level of Care Waivers (Non-residential): Conduct on-site evaluations of locations that indicated through self-assessment that may be subject to heightened scrutiny. If, upon completing the on-site review, DODD determines that a request for Heightened Scrutiny must be submitted to CMS for consideration, DODD will work with the provider and individuals/families to compile evidence that the setting does have HCBS characteristics. (3/1/2017-12/31/2017)  NF-LOC System (Residential and Nonresidential) - Settings that are presumed to have the effect of isolating individuals and may be subject to heightened scrutiny process): On-site evaluations conducted by the state. The State compiles evidence for settings that were initially presumed to have institutional qualities but were determined to meet with modifications, based on the on-site evaluation. The State requests remediation plans from these settings, which detail the action steps and timelines to bring the setting into full compliance. (10/1/2016-6/30/2017)	12/31/2017	Delayed	7/1/2018		ICF-IID Delivery System: The state has verified that 43 of the 82 (54%) of the non-residential providers identified in the ICF-IID-based LOC delivery system have taken the necessary action steps in relation to the identified remediation timeframes. Delay completion. The state expects to meet the proposed 100% target by 7/1/18.  NF-LOC Delivery System: : Complete. The state has verified 100% of the non-residential providers in the NF-based LOC delivery system who required a remediation plan have either completed the necessary remediation to demonstrate full compliance or voluntarily terminated their contract to furnish the adult day health service
22	Ohio	OH.18.0	Complete gathering information and evidence on settings requiring heightened scrutiny that it will present to CMS	ICF/IID Level of Care Waivers (Residential): Compile evidence for settings that were presumed to have institutional qualities but were determined to have HCBS characteristics based upon the on-site evaluation. (3/1/2016-1/31/2017)  ICF/IID Level of Care Waivers (Nonresidential): Compile evidence for settings that were presumed to have institutional qualities but were determined to have HCBS characteristics based upon the on-site evaluation. (3/1/2016-12/31/2017)  NF-LOC System (Residential and Nonresidential) – Compile evidence for settings that were presumed to have institutional qualities but were determined to meet with modifications, based on the on-site evaluation (10/1/2016-6/30/2017)  Compile evidence for settings that were presumed to have institutional qualities but were determined to have HCBS characteristics, based on the on-site evaluation. (10/1/2016-6/30/2017)	12/31/2017	Delayed		7/1/2018	ICF-IID Delivery System: The state has verified that 43 of the 82 (54%) of the non-residential providers identified in the ICF-IID-based LOC delivery system have taken the necessary action steps in relation to the identified remediation timeframes. Delay completion. The state expects to meet the proposed 100% target by 7/1/18.  NF-LOC Delivery System: : Complete. The state has verified 100% of the non-residential providers in the NF-based LOC delivery system who required a remediation plan have either completed the necessary remediation to demonstrate full compliance or voluntarily terminated their contract to furnish the adult day health service.



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					State's Supporting Evidence					
4	State	No.	Milestone	Description	Due Date	Status	State's Requested Status Change	State's Requested New Due Date		
31	Ohio	OH.27.0	Complete beneficiary transition to compliant setting across all providers: 75%	ICF/IID Level of Care Waivers (Residential): DODD will ensure individuals' service and support administrators assist individuals with transitioning to a setting that does comply with the criteria and, if necessary, with choosing a new provider. (1/1/2018-6/30/2018)  ICF/IID Level of Care Waivers (Non-residential): DODD will ensure individuals' service and support administrators assist individuals with transitioning to a setting that does comply with the criteria and, if necessary, with choosing a new provider. (7/1/2018-9/30/2018)  NF-LOC System (Residential and Nonresidential) - The State's established relocation team, led by the State Long Term Care Ombudsman Office, will work with individuals who choose to transition to an approved HCBS setting of their choice.  Date when beneficiaries in approximately 75% of providers have been relocated. (11/1/2018)	11/1/2018	Not Yet Due				
32	Ohio	OH.28.0	Complete beneficiary transition to compliant setting across all providers: 100%	ICF/IID Level of Care Waivers (Residential): DODD will ensure individuals' service and support administrators assist individuals with transitioning to a setting that does comply with the criteria and, if necessary, with choosing a new provider. (7/1/2018-3/17/2019)  ICF/IID Level of Care Waivers (Non-residential): DODD will ensure individuals' service and support administrators assist individuals with transitioning to a setting that does comply with the criteria and, if necessary, with choosing a new provider. (10/1/2018-3/17/2019)*  * target for completion date, but may not reach 100%  NF-LOC System (Residential and Nonresidential) - The State's established relocation team, led by the State Long Term Care Ombudsman Office, will work with individuals who choose to transition to an approved HCBS setting of their choice.  Date when beneficiaries in approximately 100% of providers have been relocated. (3/17/2019)	3/17/2019	Not Yet Due				
33	Ohio	OH.Q01.0	Quarterly progress update	[First quarter after initial and/or final approval.] July-Sept 2016; Report due Oct 31, 2016	10/31/2016	Completed			As outlined in the STP, site-specific assessments to determine which settings will be subject to heightened scrutiny are underway. There are no additional specific milestones due in this quarter.	
34	Ohio	OH.Q02.0	Quarterly progress update	[Second quarter after initial and/or final approval.] Oct-Dec 2016; Report due Jan 31, 2017	1/31/2017	Completed			As outlined in the STP, site-specific assessments to determine which settings will be subject to heightened scrutiny are underway. There are no additional specific milestones due in this quarter.	
35	Ohio	OH.Q03.0	Quarterly progress update	[Third quarter after initial and/or final approval.] Jan-March 2017; Report due April 30,2017	4/30/2017	Completed			Residential Settings In both the ICF-IID and NF-LOC delivery systems, the state has conducted 100% of the on-site evaluations of residential settings identified in the statewide transition (STP) as Category III (Settings presumed to have the effect of isolating individuals that may be subject to heightened scrutiny review) and Category IV (Settings that cannot meet the HCBS characteristics).  As a result of the completed on-site evaluations, the State is in the process of identifying the settings that have overcome the presumption and will be submitted to CMS for heightened scrutiny in accordance with the time frames proposed in the statewide transition plan. The State expects to meet the proposed target date for milestone (OH.17.0) due 12/31/2017.  The State has identified 2 settings in the ICF-IID delivery system that do not meet the HCBS criteria and has initiated the relocation process as outlined in the statewide transition plan.  The state continues to conduct site-specific assessments for residential settings identified in the STP as Category II settings that currently do not meet HCBS characteristics but may with modification and expects to meet the proposed target for the next milestone (OH.09.0) due 7/1/2017.  As a result of the site-specific assessments completed in the NF-LOC delivery system, 38 settings have been re-categorized as Category III (Settings presumed to have the effect of isolating individuals that may be subject to heightened scrutiny review). The State is in the process of identifying the settings that have overcome the presumption and will be submitted to CMS for heightened scrutiny in accordance with the time frames proposed in the statewide transition plan. The State expects to meet the proposed target date for milestone (OH.17.0) due 12/31/2017.  Non Residential Settings The state has conducted 54% of the on-site evaluations of non-residential settings in the ICF-IID delivery system and 27% of the on-site evaluations of non-residential settings in the NF-LOC delivery system identified in the statewide transition (STP) as Category III (Settings presumed to have the effect of isolating individuals that may be subject to heightened scrutiny review) and Category IV (Settings that cannot meet the HCBS characteristics.)  The State has identified 1 setting in the ICF-IID delivery system that does not meet the HCBS criteria and has initiated the relocation process as outlined in the statewide transition plan.	
36	Ohio	OH.Q04.0	Quarterly progress update	[Fourth quarter after initial and/or final approval.] April-June 2017; Report due July 31,2017	7/31/2017	Completed			The ICF-IID delivery system completed a system review and estimated the number of settings which either (a) cannot meet the federal requirements or (b) are presumptively non-home and community-based but the state can provide evidence to show the settings do have the qualities of a home and community-based setting. The results of the review is documented in the STP in the appendices 1 and 2 (93 and 110). The State conducted on-site assessments of the residential settings in these categories to determine if there is sufficient evidence to demonstrate the settings possess the home and community-based characteristics and do not have institutional characteristics. The state will submit evidence packages to CMS to request a heightened scrutiny review of the following residential settings: Bittersweet, Inc. (four locations) and Consumer Support Services (two locations). The summaries can be found in the STP Heightened Scrutiny Review Submission #1 Appendix 5: ICF/IID System: Heightened Scrutiny Evidence Packages (Residential Settings)  The state has posted the updated STP Heightened Scrutiny Review Submission #1, with the specific settings evidence packages for the public's review and comment (July 27-August 27, 2017) prior to submitting the heightened scrutiny review request to CMS.  <a href="http://www.medicareid.ohio.gov/RESOURCES/PublicNotices/HeightenedScrutinyReviewRequest.aspx">http://www.medicareid.ohio.gov/RESOURCES/PublicNotices/HeightenedScrutinyReviewRequest.aspx</a>  The state plans to submit the Heightened Scrutiny submission #1 to CMS no later than Tuesday, September 12, 2017. In the NF-based LOC delivery system, the State has conducted 100% of the site-specific assessment for both the residential and non-residential settings. The State is in the process of compiling heightened scrutiny evidence packages for submission to CMS in accordance with the time frames proposed in the initially approved statewide transition plan.	
37	Ohio	OH.Q05.0	Quarterly progress update	[Fifth quarter after initial and/or final approval.] July-Sept 2017; Report due Oct 31, 2017	10/31/2017	Completed			ICF-IID Delivery System: The ICF-IID delivery system completed a system review and estimated the number of settings which either (a) cannot meet the federal requirements or (b) are presumptively non-home and community-based but the state can provide evidence to show the settings do have the qualities of a	
38	Ohio	OH.Q06.0	Quarterly progress update	[Sixth quarter after initial and/or final approval.] Oct-Dec 2017; Report due Jan 31, 2018	1/31/2018	Completed			ICF-IID System: Residential The State conducted on-site assessments of the residential settings in these categories to determine if there is sufficient evidence to demonstrate the settings	
39	Ohio	OH.Q07.0	Quarterly progress update	[Seventh quarter after initial and/or final approval.] Jan-Mar 2018; report due April 30,2018	4/30/2018	Not Yet Due				
40	Ohio	OH.Q08.0	Quarterly progress update	[Eighth quarter after initial and/or final approval.] April-June 2018; Report due July 31, 2018	7/31/2018	Not Yet Due				
41	Ohio	OH.Q09.0	Quarterly progress update	Ninth quarter after initial and/or final approval. July-Sept 2018; Report due October 31, 2018	10/31/2018	Not Yet Due				
42	Ohio	OH.Q10.0	Quarterly progress update	Tenth quarter after initial and/or final approval. Oct-Dec 2018; Report due Jan 31, 2019	1/31/2019	Not Yet Due				
43	Ohio	OH.Q11.0	Quarterly progress update	Eleventh quarter after initial and/or final approval. Jan-March 2019; Report due April 30, 2019	4/30/2019	Not Yet Due				