

Ohio Milestones Template Draft 6/29/2016

Background

In order to collect consistent information on the implementation of the HCB Settings regulations and Statewide Transition Plan (STP), CMS has identified a standard set of milestones to track across states. Since each state is different, the milestone must align with the Statewide Transition Plan (STP) evidence. States may need to provide more than one date for a particular milestone because the states will report completion in percentages, such as 25% or 50% complete. This approach will provide CMS insight in regards to the status of completion pertaining to particular milestones.

Instructions and Reminders

The following milestone list provides CMS the opportunity to track progress in implementation of each state's STP. Please provide dates for each milestone and where possible, the corresponding page number in the STP. Per the SOTA call on February 4, 2016, the state will receive email reminders 30 days prior to the due date of each milestone input into the system and when milestones are past due. States will also have the opportunity to update CMS on the milestones below through the HCB Settings website.

- All dates included in the template below should also align with the STP.
- The red italic text provides additional details related to each milestone. Please reach out to CMS with specific questions.
- Some milestones may have the same proposed due dates-as these steps may be undertaken simultaneously.
- States are encouraged to provide additional details on each milestone in the description column below. The description field will be transferred and available for viewing on the HCB Settings Website.

Milestone	Description	Proposed Latest End Date	STP Page No.
1. Systemic Assessment and Remediation			
1-a Completion of systemic assessment <i>[The date when overall completion of the systemic assessment, including review of all rules, regulations, and statutes]</i>	<p>ICF/IID Level of Care Waivers (Residential and Non-residential): HCBS evaluation tool developed to use during on-site compliance reviews conducted by DODD personnel. (6/1/2015-7/31/2015)</p> <p>ICF/IID Level of Care Waivers (Residential and Non-residential): Systemic review conducted with stakeholder engagement via the Transition Plan Committee, State Systems Sub-committee, and the Strategic Planning Leadership Group. (5/1/2014-12/31/2015)</p> <p>NF-LOC System Waivers (Residential and Nonresidential): Settings were assigned a category of compliance based on the State’s administrative review of existing regulations and an analysis of paid claims for HCBS and institutional services delivered from the same address. (10/1/2014-10/15/2015)</p>	12/31/2015	122,125,128,132 74, 77, 80, 91, 93 122,125, 128, 132
1- b Complete modifying rules and regulations, including provider manuals, inspection manuals, procedures, laws, qualification criteria, etc.	<p>ICF/IID Level of Care Waivers (Residential and Non-residential): Post draft HCBS waiver Administration rule for comment, make necessary revisions, final file, and final file with proposed implementation date. (12/15/2015-4/30/2016)</p> <p>ICF/IID Level of Care Waivers (Residential and Non-residential):</p> <ul style="list-style-type: none"> • Amend Licensure rules that impact provider-owned settings. (OAC 5123:2-3) • Submit the new Adult Day Services rules through rule review and implementation process. (5123:2-9) • Submit the new Personal Funds rule (OAC 5123:2-2-07) through rule review and implementation process. (6/30/2016-10/1/2016) 	10/1/2016	80, 90, 96 80, 82, 91 93, 98 76, 93 104-119

	NF-LOC System Waivers (Residential and Non-Residential): Adopt an overarching HCBS settings rule and modify provider oversight process. (7/1/2015-7/1/2016)		
1-c Implementation of new rules and regulations: 50% complete <i>[The date when at least 50% of all rules, regulations, and statutes identified through the assessment will be implemented. Please specify which rules, regulations, and statutes in the description]</i>	ICF/IID Level of Care Waivers (Residential and Non-residential): <ul style="list-style-type: none"> Implement amended Free Choice of Provider rule 5123:2-9-11 (3/2015) Implement HCBS Administration rule 5123:2-9-02 (5/2016) Implement IO Nursing rule 5123:2-9-39 (7/1/ 2016) NF-LOC System Waivers (Residential and Non-Residential): Adopt an overarching HCBS settings rule OAC 5160-44-01. (7/1/2015-7/1/2016)	7/1/2016	79 70, 73, 80, 90, 96 104-119
1-d Implementation of new rules and regulations: 100% complete <i>[The date when all rules, regulations, and statutes (100%) identified through the assessment will be implemented. Please specify which rules, regulations, and statutes in the description]</i>	ICF/IID Level of Care Waivers (Residential and Non-residential): Post draft HCBS waiver Administration rule for comment, make necessary revisions, final file, and final file with proposed implementation date. (12/15/2015-4/30/2016) ICF/IID Level of Care Waivers (Residential and Non-residential): <ul style="list-style-type: none"> Implement amended Homemaker/Personal Care rule 5123:2-9-30 to reflect revised service definition (pending CMS approval) Implement new Adult Day Waiver Services (pending CMS approval) Implement new Licensure rules for provider-owned settings Implement new Personal Funds rule (6/30/2016-10/1/2016) NF-LOC System Waivers (Residential and Non-Residential) Modify OAC 173-39-02.16, OAC 173-39-02.1, and OAC 5160-46-04 (C) (9/1/2016-9/30/2017)	9/30/2017	80, 90, 96 90 93, 98 81, 88 76 104
2. Site-specific Assessments			

<p>2-a Completion of site-specific assessment <i>[The date when the overall completion of the site-specific assessment, including review of all settings and the validation of assessment results.]</i></p>	<p>ICF/IID Level of Care Waivers (Residential): Based upon the onsite evaluation a remediation plan will be requested for settings that currently do not comply but have the ability to comply. DODD will require semi-annual status reports from the provider. (3/1/2016-12/31/2016)</p> <p>ICF/IID Level of Care Waivers (Non-residential): Based upon the onsite evaluation a remediation plan will be requested for settings that currently do not comply but have the ability to comply. DODD will require semi-annual status reports from the provider. (3/1/2016-12/31/2017)</p> <p>NF-LOC System (Residential and Non-residential - Settings which currently do not meet HCBS characteristics but may with modifications/ Settings that are presumed to have the effect of isolating individuals and may be subject to heightened scrutiny process): The reviews will be conducted using the HCBS setting evaluation tool developed for the HCBS settings rule and will be conducted in accordance with the current review schedule. (7/1/2016-6/30/2017)</p> <p>For settings that do not demonstrate compliance with the HCBS settings rule, the provider will submit acceptable evidence of compliance using existing processes and in accordance with OAC rules 5160-45-09, 5160-45-10, 173-39-04, 173-39-05. The evidence of compliance will detail the steps to be taken to come into compliance and the expected timelines for compliance. (7/1/2016-6/30/2017)</p>	<p>12/31/2017</p>	<p>91, 94, 95, 98,100</p> <p>92</p> <p>92</p> <p>93, 95</p> <p>123, 126, 129, 132</p>
<p>2-b Incorporate results of settings analysis into final version of the STP and release for public comment</p>	<p>ICF/IID Level of Care Waivers (Residential): Update and post the transition plan with description of the assessment results and identification of settings for which heightened scrutiny may be requested or relocation may be required. (1/1/2017-1/31/2017)</p> <p>ICF/IID Level of Care Waivers (Non-residential): Update and post the transition plan with description of the assessment results and identification of settings for which heightened scrutiny may be requested or relocation may be required. (1/1/2018-1/31/2018)</p>	<p>1/31/2018</p>	<p>97, 102</p> <p>99, 103</p>

	NF-LOC System (Residential and Non-residential) The state updates the transition plan with the description of the results and identification of the settings for which CMS heightened scrutiny review is requested (7/1/2017-9/30/2017)		130, 133
2-c Submit final STP to CMS	<p>ICF/IID Level of Care Waivers (Residential): Submit updated STP to CMS which includes the description of the assessment results and identification of settings for which heightened scrutiny may be requested or relocation may be required. (7/1/2017-7/31/2017)</p> <p>ICF/IID Level of Care Waivers (Non-residential): Update and post the transition plan with description of the assessment results and identification of settings for which heightened scrutiny may be requested or relocation may be required. (7/1/2018-7/31/2018)</p> <p>NF-LOC System (Residential and Non-residential) The state submits requests for heightened scrutiny to CMS for settings initially presumed to be institutional that the state determined through the on-site evaluations, do have qualities that are home and community-based (10/1/2017-10/31/2017)</p>	7/31/2018	97 99 130, 133
3. Site-specific Remediation¹			
3-a Completion of residential provider remediation: 25% <i>[The date when approximately 25% of residential providers have completed the necessary remediation (of those providers that require remediation). Please</i>	<p>ICF/IID Level of Care Waivers (Residential): Verify implementation of providers' remediation strategies for all residential settings to determine completion of action steps in relation to the identified remediation timeframes. (3/1/2016-5/1/2016)</p> <p>NF-LOC System (Residential) Using existing processes, the state will monitor the providers' progress implementing the plan required to bring the setting into full compliance. Date approximately 25% of providers who required a remediation plan have completed the necessary remediation.(12/31/2016)</p>	12/31/2016	97 123

<p><i>provide additional details on settings in the description.]</i></p>			
<p>3-b Completion of residential provider remediation: 50% <i>[The date when approximately 50% of residential providers have completed the necessary remediation (of those providers that require remediation). Please provide additional details on settings in the description.]</i></p>	<p>ICF/IID Level of Care Waivers (Residential): Verify implementation of providers’ remediation strategies for all residential settings to determine completion of action steps in relation to the identified remediation timeframes. (3/1/2016-7/31/2016)</p> <p>NF-LOC System (Residential): Using existing processes, the state will monitor the providers’ progress implementing the plan required to bring the setting into full compliance. Date approximately 50% of providers who required a remediation plan have completed the necessary remediation. (7/1/2017)</p>	<p>7/1/2017</p>	<p>84, 89, 92</p> <p>123</p>
<p>3-c Completion of residential provider remediation: 75% <i>[The date when approximately 75% of residential providers have completed the necessary remediation (of those providers that require remediation). Please provide additional details on settings in the description.]</i></p>	<p>ICF/IID Level of Care Waivers (Residential): Verify implementation of providers’ remediation strategies for all residential settings to determine completion of action steps in relation to the identified remediation timeframes. (7/1/2016-1/1/2017)</p> <p>NF-LOC System (Residential): Using existing processes, the state will monitor the providers’ progress implementing the plan required to bring the setting into full compliance. Date approximately 75 % of providers who required a remediation plan have completed the necessary remediation. (1/1/2018)</p>	<p>1/1/2018</p>	<p>84, 89, 92</p> <p>123</p>
<p>3-d Completion of residential provider remediation: 100% <i>[The date when all residential providers have</i></p>	<p>ICF/IID Level of Care Waivers (Residential): Verify implementation of providers’ remediation strategies for all residential settings to determine completion of action steps in relation to the identified remediation timeframes. (1/1/2017-7/31/2017)</p>	<p>10/31/2018</p>	<p>84, 89, 92,</p>

<p>3-g Completion of nonresidential provider remediation: 75% <i>[The date when approximately 75% of nonresidential providers have completed the necessary remediation (of those providers that require remediation). Please provide additional details on settings in the description.]</i></p>	<p>ICF/IID Level of Care Waivers (Non-Residential): Verify implementation of providers' remediation strategies for all non-residential settings to determine completion of action steps in relation to the identified remediation timeframes. (7/1/2016-1/1/2017)</p> <p>NF-LOC System (Residential) Using existing processes, the state will monitor the providers' progress implementing the plan required to bring the setting into full compliance.</p> <p>Date when approximately 75% of providers who required a remediation plan have completed the necessary remediation. (1/1/2018)</p>	<p>1/1/2018</p>	<p>99 126</p>
<p>3-h Completion of nonresidential provider remediation: 100% <i>[The date when all nonresidential providers have completed the necessary remediation (of those providers that require remediation). Please provide additional details on settings in the description.]</i></p>	<p>ICF/IID Level of Care Waivers (Non-Residential): Verify implementation of providers' remediation strategies for all non-residential settings to determine completion of action steps in relation to the identified remediation timeframes. (1/1/2017-7/31/2017)</p> <p>NF-LOC System (Residential) Using existing processes, the state will monitor the providers' progress implementing the plan required to bring the setting into full compliance.</p> <p>Date when 100% of providers who required a remediation plan have completed the necessary remediation. (10/31/2018)</p>	<p>10/31/2018</p>	<p>99 126</p>
<p>3-i Identification of settings that will not remain in the HCBS System <i>[The date those settings that are considered institutional or are not willing to remediate will be identified for removal from the HCBS System]</i></p>	<p>ICF/IID Level of Care Waivers (Residential and Non-residential): Identify settings that require relocation plans based upon on-site evaluations. (1/1/2018-3/31/2018)</p> <p>NF-LOC System (Residential and Nonresidential): For settings the state does not submit a request for heightened scrutiny review OR for the settings that CMS determines, through heightened scrutiny review, do not meet the regulatory requirements for HCBS, the State's established relocation team, led</p>	<p>3/31/2018</p>	<p>98, 102 130, 134</p>

	by the State Long Term Care Ombudsman will work with individuals who choose to transition to an approved HCBS setting of their choice. (10/1/2017)		
4. Heightened Scrutiny²			
4-a Identification of settings that overcome the presumption and will be submitted for heightened scrutiny and notification to provider	<p>ICF/IID Level of Care Waivers (Residential): Conduct on-site evaluations of locations that indicated through self-assessment that may be subject to heightened scrutiny. If, upon completing the on-site review, DODD determines that a request for Heightened Scrutiny must be submitted to CMS for consideration, DODD will work with the provider and individuals/families to compile evidence that the setting does have HCBS characteristics. (3/1/2016-1/31/2017)</p> <p>ICF/IID Level of Care Waivers (Non-residential): Conduct on-site evaluations of locations that indicated through self-assessment that may be subject to heightened scrutiny. If, upon completing the on-site review, DODD determines that a request for Heightened Scrutiny must be submitted to CMS for consideration, DODD will work with the provider and individuals/families to compile evidence that the setting does have HCBS characteristics. (3/1/2017-12/31/2017)</p> <p>NF-LOC System (Residential and Nonresidential) - Settings that are presumed to have the effect of isolating individuals and may be subject to heightened scrutiny process): On-site evaluations conducted by the state. The State compiles evidence for settings that were initially presumed to have institutional qualities but were determined to meet with modifications, based on the on-site evaluation. The State requests remediation plans from these settings, which detail the action steps and timelines to bring the setting into full compliance. (10/1/2016-6/30/2017)</p>	12/31/2017	88, 93 97 130, 134
4-b Complete gathering information and evidence on settings requiring	ICF/IID Level of Care Waivers (Residential): Compile evidence for settings that were presumed to have institutional qualities but were determined to have HCBS characteristics based upon the on-site evaluation. (3/1/2016-1/31/2017)	12/31/2017	97

<p>heightened scrutiny that it will present to CMS</p>	<p>ICF/IID Level of Care Waivers (Nonresidential): Compile evidence for settings that were presumed to have institutional qualities but were determined to have HCBS characteristics based upon the on-site evaluation. (3/1/2016-12/31/2017)</p> <p>NF-LOC System (Residential and Nonresidential) – Compile evidence for settings that were presumed to have institutional qualities but were determined to meet with modifications, based on the on-site evaluation (10/1/2016-6/30/2017)</p> <p>Compile evidence for settings that were presumed to have institutional qualities but were determined to have HCBS characteristics, based on the on-site evaluation. (10/1/2016-6/30/2017)</p>		<p>99</p> <p>129, 133</p>
<p>4-c Incorporate list of settings requiring heightened scrutiny and information and evidence referenced above into the final version of STP and release for public comment</p>	<p>ICF/IID Level of Care Waivers (Residential): Update and post the transition plan with descriptions of the assessment results and identification of the settings for which CMS heighten scrutiny is requested. (1/1/2017-1/31/2017)</p> <p>ICF/IID Level of Care Waivers (Nonresidential): Update and post the transition plan with description of the assessment results and identification of the settings for which CMS heightened scrutiny is requested. (1/1/2018-1/31/2018)</p> <p>NF-LOC System (Residential and Nonresidential): The State updates the transition plan with the description of the results and identification of the settings for which CMS heightened scrutiny review is requested. (7/1/2017-9/30/2017)</p>	<p>1/31/2018</p>	<p>97</p> <p>99</p> <p>130,133</p>
<p>4-d Submit STP with Heightened Scrutiny information to CMS for review</p>	<p>ICF/IID Level of Care Waivers (Residential): Submit requests for heightened scrutiny to CMS. (7/1/2017-7/31/2017)</p> <p>ICF/IID Level of Care Waivers (Nonresidential): Submit requests for heightened scrutiny to CMS. (7/1/2018-7/31/2018)</p>	<p>7/31/2018</p>	<p>97</p> <p>99</p>

	NF-LOC System (Residential and Nonresidential) - The State submits requests for heightened scrutiny to CMS for settings initially presumed to be institutional that the State determined, through the on-site evaluations, do have qualities that are home and community-based. (10/1/2017-10/31/2017)		130, 133
5. Relocation			
5-a Complete notifying member, guardians, case managers, facility support staff and any other identified responsible parties that the setting is not in compliance with HCBS settings requirements and that relocation is required: 25% <i>[The date when members, guardians, case managers, etc. in approximately 25% of providers have been notified that relocation is required. Please provide additional details on settings in the description.]</i>	<p>ICF/IID Level of Care Waivers (Residential): DODD will inform individuals served in these settings that the location does not meet HCBS criteria. (1/1/2017-1/31/2017)</p> <p>ICF/IID Level of Care Waivers (Non-residential): Notify settings where HCBS is provide in institutions or on the grounds of public institutions they do not comply and update STP. (3/1/2016-6/30/2016)</p> <p>ICF/IID Level of Care Waivers (Non-residential): DODD will inform individuals served in these settings that the location does not meet HCBS criteria. (1/1/2018-3/31/2018)</p> <p>NF-LOC System (Residential and Nonresidential). The State’s established relocation team, led by the State Long Term Care Ombudsman Office, providing timely notice and due process through in-person notification that the setting does not meet HCBS requirements.</p> <p>Date when individual/guardians/case managers in approximately 25% of provider settings have been notified that relocation is required. (2/15/2017)</p>	3/31/2018	102 100, 103 99, 103 130, 134
5-b Complete notifying member, guardians, case managers, facility support staff and any other identified responsible	ICF/IID Level of Care Waivers (Residential): DODD will inform individuals served in these settings that the location does not meet HCBS criteria. (1/1/2017-1/31/2017)	3/31/2018	102 100, 103

<p>parties that the setting is not in compliance with HCBS settings requirements and that relocation is required: 50%</p> <p><i>[The date when members, guardians, case managers, etc. in approximately 50% of providers have been notified that relocation is required. Please provide additional details on settings in the description.]</i></p>	<p>ICF/IID Level of Care Waivers (Non-residential): Notify settings where HCBS is provide in institutions or on the grounds of public institutions they do not comply and update STP. (3/1/2016-6/30/2016)</p> <p>ICF/IID Level of Care Waivers (Non-residential): DODD will inform individuals served in these settings that the location does not meet HCBS criteria. (1/1/2018-3/31/2018)</p> <p>NF-LOC System (Residential and Nonresidential) The State’s established relocation team, led by the State Long Term Care Ombudsman Office, providing timely notice and due process through in-person notification that the setting does not meet HCBS requirements.</p> <p>Date when individual/guardians/case managers in approximately 50% of provider settings have been notified that relocation is required. (8/15/2017)</p>		<p>99, 103</p> <p>130, 134</p>
<p>5-c Complete notifying member, guardians, case managers, facility support staff and any other identified responsible parties that the setting is not in compliance with HCBS settings requirements and that relocation is required: 75%</p> <p><i>[The date when members, guardians, case managers, etc. in approximately 75% of providers have been notified that relocation is required. Please provide additional details on settings in the description.]</i></p>	<p>ICF/IID Level of Care Waivers (Residential): DODD will inform individuals served in these settings that the location does not meet HCBS criteria. (1/1/2017-1/31/2017)</p> <p>ICF/IID Level of Care Waivers (Non-residential): Notify settings where HCBS is provide in institutions or on the grounds of public institutions they do not comply and update STP. (3/1/2016-6/30/2016)</p> <p>ICF/IID Level of Care Waivers (Non-residential): DODD will inform individuals served in these settings that the location does not meet HCBS criteria. (1/1/2018-3/31/2018)</p> <p>NF-LOC System (Residential and Nonresidential): The State’s established relocation team, led by the State Long Term Care Ombudsman Office, providing timely notice and due process through in-person notification that the setting does not meet HCBS requirements.</p>	<p>3/31/2018</p>	<p>102</p> <p>100, 103</p> <p>99, 103</p> <p>130, 134</p>

	Date when individual/guardians/case managers in approximately 75% of provider settings have been notified that relocation is required. (2/15/2018)		
5-d Complete notifying member, guardians, case managers, facility support staff and any other identified responsible parties that the setting is not in compliance with HCBS settings requirements and that relocation is required: 100% <i>[The date when members, guardians, case managers, etc. in all providers have been notified that relocation is required. Please provide additional details on settings in the description.]</i>	<p>ICF/IID Level of Care Waivers (Residential): DODD will inform individuals served in these settings that the location does not meet HCBS criteria. (1/1/2017-1/31/2017)</p> <p>ICF/IID Level of Care Waivers (Non-residential): Notify settings where HCBS is provide in institutions or on the grounds of public institutions they do not comply and update STP. (3/1/2016-6/30/2016)</p> <p>ICF/IID Level of Care Waivers (Non-residential): DODD will inform individuals served in these settings that the location does not meet HCBS criteria. (1/1/2018-3/31/2018)</p> <p>NF-LOC System (Residential and Nonresidential): The State’s established relocation team, led by the State Long Term Care Ombudsman Office, providing timely notice and due process through in-person notification that the setting does not meet HCBS requirements.</p> <p>Date when individual/guardians/case managers in 100% of provider settings have been notified that relocation is required. (12/14/2018)</p>	3/31/2018	102 100, 103 99, 103 130, 134
5-e Complete beneficiary relocation across all providers: 25% <i>[The date when beneficiaries in approximately 25% of providers have been relocated. Please provide additional details on settings in the description.]</i>	<p>ICF/IID Level of Care Waivers (Residential): DODD will ensure individuals’ service and support administrators assist individuals with transitioning to a setting that does comply with the criteria and, if necessary, with choosing a new provider. (1/1/2017-6/30/2017)</p> <p>ICF/IID Level of Care Waivers (Non-residential): DODD will ensure individuals’ service and support administrators assist individuals with transitioning to a setting that does comply with the criteria and, if necessary, with choosing a new provider. (1/1/2018-3/31/2018)</p>	3/31/2018	102 103

	<p>NF-LOC System (Residential and Nonresidential) – The State’s established relocation team, led by the State Long Term Care Ombudsman Office, will work with individuals who choose to transition to an approved HCBS setting of their choice.</p> <p>Date when beneficiaries in approximately 25% of providers have been relocated. (11/30/2017)</p>		130, 134
<p>5-f Complete beneficiary relocation across all providers: 50%</p> <p><i>[The date when beneficiaries in approximately 50% of providers have been relocated. Please provide additional details on settings in the description.]</i></p>	<p>ICF/IID Level of Care Waivers (Residential): DODD will ensure individuals’ service and support administrators assist individuals with transitioning to a setting that does comply with the criteria and, if necessary, with choosing a new provider. (7/1/2017-12/31/2017)</p> <p>ICF/IID Level of Care Waivers (Non-residential): DODD will ensure individuals’ service and support administrators assist individuals with transitioning to a setting that does comply with the criteria and, if necessary, with choosing a new provider. (4/1/2018-6/30/2018)</p> <p>NF-LOC System (Residential and Nonresidential) - The State’s established relocation team, led by the State Long Term Care Ombudsman Office, will work with individuals who choose to transition to an approved HCBS setting of their choice.</p> <p>Date when beneficiaries in approximately 50% of providers have been relocated. (5/18/2018)</p>	6/30/2018	102 103 130, 134
<p>5-g Complete beneficiary relocation across all providers: 75%</p> <p><i>[The date when beneficiaries in approximately 75% of providers have been relocated. Please provide additional details on settings in the description.]</i></p>	<p>ICF/IID Level of Care Waivers (Residential): DODD will ensure individuals’ service and support administrators assist individuals with transitioning to a setting that does comply with the criteria and, if necessary, with choosing a new provider. (1/1/2018-6/30/2018)</p> <p>ICF/IID Level of Care Waivers (Non-residential): DODD will ensure individuals’ service and support administrators assist individuals with transitioning to a setting that does comply with the criteria and, if necessary, with choosing a new provider. (7/1/2018-9/30/2018)</p>	11/1/2018	102 103

	<p>NF-LOC System (Residential and Nonresidential) - The State's established relocation team, led by the State Long Term Care Ombudsman Office, will work with individuals who choose to transition to an approved HCBS setting of their choice.</p> <p>Date when beneficiaries in approximately 75% of providers have been relocated. (11/1/2018)</p>		130,134
<p>5-h Complete beneficiary relocation across all providers: 100%</p> <p><i>[The date when beneficiaries in all providers have been relocated. Please provide additional details on settings in the description.]</i></p>	<p>ICF/IID Level of Care Waivers (Residential): DODD will ensure individuals' service and support administrators assist individuals with transitioning to a setting that does comply with the criteria and, if necessary, with choosing a new provider. (7/1/2018-3/17/2019)</p> <p>ICF/IID Level of Care Waivers (Non-residential): DODD will ensure individuals' service and support administrators assist individuals with transitioning to a setting that does comply with the criteria and, if necessary, with choosing a new provider. (10/1/2018-3/17/2019)*</p> <p>* target for completion date, but may not reach 100%</p> <p>NF-LOC System (Residential and Nonresidential) - The State's established relocation team, led by the State Long Term Care Ombudsman Office, will work with individuals who choose to transition to an approved HCBS setting of their choice.</p> <p>Date when beneficiaries in approximately 100% of providers have been relocated. (3/17/2019)</p>	3/17/2019	<p>102</p> <p>103</p> <p>103</p> <p>130,134</p>

¹This section includes only those providers where remediation was required.

²The first 3 Heightened Scrutiny milestones should be completed prior to resubmitting the STP to CMS (the fourth HS milestone).

Quarterly reporting: Per the initial and final approval STP letter, CMS requests quarterly updates on the HS progress. The following milestones will provide a system to monitor the submission of these reports.

Milestone	Description	Proposed End Date	STP Page No.
Quarterly progress reporting updates			
Quarterly progress update <i>[First quarter after initial and/or final approval.]</i> July-Sept 2016; Report due Oct 31, 2016			
Quarterly progress update <i>[Second quarter after initial and/or final approval.]</i> Oct-Dec 2016; Report due Jan 31, 2017			
Quarterly progress update <i>[Third quarter after initial and/or final approval.]</i> Jan-March 2017; Report due April 30,2017			
Quarterly progress update <i>[Fourth quarter after initial and/or final approval.]</i> April-June 2017; Report due July 31,2017			
Quarterly progress update <i>[Fifth quarter after initial and/or final approval.]</i> July-Sept 2017; Report due Oct 31, 2017			
Quarterly progress update <i>[Sixth quarter after initial and/or final approval.]</i> Oct-Dec 2017; Report due Jan 31, 2018			

Quarterly progress update <i>[Seventh quarter after initial and/or final approval.]</i> Jan-Mar 2018; report due April 30,2018			
Quarterly progress update <i>[Eighth quarter after initial and/or final approval.]</i> April-June 2018; Report due July 31, 2018			
Quarterly progress update <i>Ninth quarter after initial and/or final approval.]</i> July-Sept 2018; Report due October 31, 2018			
Quarterly progress update <i>Tenth quarter after initial and/or final approval.]</i> Oct-Dec 2018; Report due Jan 31, 2019			
Quarterly progress update <i>Eleventh quarter after initial and/or final approval.]</i> Jan-March 2019; Report due April 30, 2019			

Please use the following section to provide any additional milestones for which the state would like to provide information to CMS. These milestones are optional; any listed milestones will be tracked in the CMS website and should reflect any major progress. More incremental progress does not have to be noted.

Milestone	Description	Proposed End Date	STP Page No.
Additional			
<i>Ongoing strategies to ensure compliance to rule, policy, procedure, and regulation changes.</i>			

<i>Provide assistance to providers to meet HCB setting requirements (e.g., technical assistance, focus groups, surveys, etc.).</i>			
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